

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10882468
	Filing Date		2004-04-12
	First Named Inventor	Mitchell Alsop	
	Art Unit	2183	
	Examiner Name	George D. Zalepa	
Attorney Docket Number		5500-92000	

U.S. PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6247121		2001-06-12	Akkary et al	
	2	3896419		1975-07-22	Lange et al	
	3	5381533		1995-01-10	Peleg	
	4	6449714		2002-09-10	Sinharoy	
	5	6339822		2002-01-15	Miller	
	6	6256727		2001-07-03	McDonald	
	7	6185675		2001-02-06	Kranich et al	
	8	6823428		2004-11-23	Rodriguez et al	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10882468
Filing Date	2004-04-12
First Named Inventor	Mitchell Alsip
Art Unit	2183
Examiner Name	George D. Zallepa
Attorney Docket Number	5500-92000

9	6167536		2000-12-26	Moann	
10	6357016		2002-03-12	Rodgers et al	
11	7003629		2006-02-21	Alsip	
12	6345295		2002-02-05	Beardsley et al	
13	5930487		1999-07-17	Cherian et al	
14	6578128		2003-06-10	Arsonault et al	
15	6973543		2005-12-06	Hughes	
16	6216206		2001-04-10	Peled et al	
17	6233678		2001-05-15	Bala	
18	5210843		1993-05-11	Ayers	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10882468
Filing Date	2004-04-12
First Named Inventor	Mitchell Alsip
Art Unit	2183
Examiner Name	George D. Zalepa
Attorney Docket Number	5500-92000

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20040143721		2004-04-22	Pickett et al	
	2	20020144101		2002-10-03	Wang et al	
	3	20030023835		2003-01-30	Kalafatis et al	
	4	20040083352		2004-04-29	Lee	
	5	20040193857		2006-11-16	Miller et al	
	6	20050125632		2005-06-09	Alsip et al	
	7	20020095553		2002-04-18	Mendelson et al	
	8	20050076180		2005-04-07	Smaus et al	
	9	20050125613		2005-06-09	Kim et al	
	10	20040216091		2004-10-28	Groeschel	

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number	10882468
Filing Date	2004-04-12
First Named Inventor	Mitchell Alsup
Art Unit	2183
Examiner Name	George D. Zalepa
Attorney Docket Number	5500-92000

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

## FOREIGN PATENT DOCUMENTS

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	2281101	GB		2003-04-23			<input type="checkbox"/>
	2	957428	EP		1999-11-17			<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button. [Add](#)

## NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Jacobson, et al., "Instruction Pre-Processing In Trace Processors," IEEE Xplore, January 1999, 6 pages.	<input type="checkbox"/>
	2	Yuan Chou, et al., "Instruction Path Coprocessors," March 2000, pp. 1-24.	<input type="checkbox"/>
	3	Sanjay J. Patel, et al., "replay: A Hardware Framework for Dynamic Optimization," IEEE, Vol. 50, No. 6, June 2001, pp. 590-608.	<input type="checkbox"/>
	4	Patterson, et al., "Computer Architecture A Quantitative Approach," Second Edition, Morgan Kaufmann Publishers, Inc., 1996, pp. 271-278	<input type="checkbox"/>
	5	Bryan Black, et al., "The Block-Based Trace Cache," IEEE, 1999, pp. 196-207.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10882468
Filing Date	2004-04-12
First Named Inventor	Mitchell Alsop
Art Unit	2183
Examiner Name	George D. Zalepa
Attorney Docket Number	5500-92000

6	Hinton, G., et al., "A 0.18-MUM CMOS IA-32 Processor with a 4-GHz Integer Execution Unit," IEEE Journal of Solid-State Circuits, Vol. 36, No. 11, November 2001, pages. 1617-1627.	<input type="checkbox"/>
7	Palmer, et al., "Fido: A Cache That Learns to Fetch," Proceedings of the 17th International Conference on Very Large Data Bases, Barcelona, September 1991, pp. 255-264.	<input type="checkbox"/>
8	Chen et al., "Eviction Based Cache Placement for Storage Caches," USENIX 2003 Annual Technical Conference, (13 pages).	<input type="checkbox"/>
9	Merten, et al., "An Architectural Framework for Run-Time Optimization," June 2001, pp. 1-43.	<input type="checkbox"/>
10	Jourdan, et al., "Increasing the Instruction-Level Parallelism through Data-Flow Manipulation," Intel, 11 pages.	<input type="checkbox"/>
11	Bryan Black, et al., "Turboscalar: A High Frequency High IPC Microarchitecture," Dept. of Electrical and Computer Engineering, Carnegie Mellon Univ., June 2000.	<input type="checkbox"/>
12	Grant Braught, "Cias #21-Assemblers, Labels & Pseudo Instructions," Dickenson College, Fall Semester 2000, 6 pages.	<input type="checkbox"/>
13	U.S. Application Serial No. 10/676,437 filed 10/1/03, Alsop, et al.	<input type="checkbox"/>
14	U.S. Application Serial No. 10/615,506 filed 7/8/03, Alsop	<input type="checkbox"/>
15	U.S. Application Serial No. 10/755,742 filed 1/12/04, Smaus, et al.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

Add

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10882468
Filing Date	2004-04-12
First Named Inventor	Mitchell Alsop
Art Unit	2183
Examiner Name	George D. Zallepa
Attorney Docket Number	5500-92000

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10882468
Filing Date	2004-04-12
First Named Inventor	Mitchell Alsop
Art Unit	2183
Examiner Name	George D. Zallepa
Attorney Docket Number	5500-92000

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Robert C. Kowert/	Date (YYYY-MM-DD)	2006-11-16
Name/Print	Robert C. Kowert	Registration Number	39,255

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.